

KENTUCKY REGISTRY OF ELECTION FINANCE 140 Walnut Street, Frankfort, KY 40601-3240 (502) 573-2226 / FAX (502) 573-5622 www.kref.ky.gov <div style="text-align: center;"> STATEMENT OF SPENDING INTENT AND APPOINTMENT OF CAMPAIGN TREASURER </div>	This Space for Filing Officer Use Only <div style="text-align: center;"> If not date stamped, complete the following: Form filed with: Secretary of State <u>or</u> County Clerk _____ <div style="text-align: center;">Name of County</div> On _____ (Date Filed) </div>	This Space for Registry Use Only FN _____ BY _____ DATE _____
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SECTION 1. CANDIDATE INFORMATION (Please type or print)

Name of Candidate (as it will appear on ballot)		County of Candidate's Residence	
Candidate's Mailing Address: Street, PO Box, Rural Route		City	State
Candidate's Telephone Number		Candidate's Alternate Telephone Number	
Candidate's Date of Birth	Candidate's Email Address		

SECTION 2. ELECTION INFORMATION

Date of Election	Type of Election (Check all that apply): <input type="checkbox"/> Primary Election <input type="checkbox"/> General Election <input type="checkbox"/> Special Election <input type="checkbox"/> Election to Fill Unexpired Term	Is Candidate the Incumbent for This Office? <div style="text-align: center;"><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	Is Candidate Filing as a Write-In Candidate? <div style="text-align: center;"><input type="checkbox"/> Yes <input type="checkbox"/> No</div>
Office Sought		Jurisdiction of Office Sought, including District, Circuit, or Division Number, if Applicable	
This Race is: (Check One) <input type="checkbox"/> Partisan <input type="checkbox"/> Non-Partisan		If Partisan Race, Indicate Candidate's Designation on the Ballot: (Check One) <input type="checkbox"/> Democrat <input type="checkbox"/> Republican <input type="checkbox"/> Independent <input type="checkbox"/> Other (Specify) _____	

SECTION 3. TREASURER AND DEPOSITORY INFORMATION

Name of Treasurer – Required Designation Even if Candidate is Serving as Own Treasurer		Treasurer's Email Address:	
Treasurer's Mailing Address: Street, PO Box, Rural Route		City	State
Treasurer's Telephone Number		Treasurer's Alternate Telephone Number	
Name of Financial Institution Intended for Use as Campaign Depository		Address of Financial Institution Intended for Use as Campaign Depository	

SECTION 4. STATEMENT OF SPENDING INTENT AND REQUEST FOR EXEMPTION - KRS 121.180(1)

NO EXEMPTION. I (or my campaign committee) expect to **raise and/or spend more than \$3,000** during the election(s) as indicated below. I **INTEND TO FILE ALL CAMPAIGN FINANCE REPORTS** directly with the Registry. I understand that I will have campaign finance reports due as listed below. This is my intent for the (check all that apply):

☐ **Primary Election** (Reports Due: 30 and 15 days before the election(s) and 30 days after the election(s))
☐ **General Election** (Reports Due: 60, 30 and 15 days before the election(s) and 30 days after the election(s))
☐ **Special Election** (Reports Due: 30 and 15 days before the election(s) and 30 days after the election(s))
☐ **Unexpired Term** (Reports Due: see above for Primary Election Reports Due or General Election Reports Due)

EXEMPTION OPTION. I (or my campaign committee) expect to **raise and/or spend \$3,000 or less** during the election(s) indicated below which entitles me to request a reporting exemption. I understand that I will have **no campaign finance reports due** for the election(s) indicated, unless my campaign exceeds the \$3,000 threshold, at which time I will immediately file the required campaign finance reports **directly** with the Registry. This is my intent for the (check all that apply):

☐ **Primary Election** ☐ **General Election** ☐ **Special Election** ☐ **Unexpired Term Election**

☐ **AMENDMENT** (To amend candidate, election, treasurer, or depository information or prior request for exemption. Please file directly with the Registry.) Briefly describe reason for amendment or change:

SECTION 5. VERIFICATION

I certify that I have examined this Statement of Spending Intent and Appointment of Campaign Treasurer form and to the best of my knowledge and belief it is true, correct, and complete. I understand that submission of false, erroneous, or incomplete information may subject me to the penalties of KRS 121.990.

Candidate's Signature _____ **Date** ____/____/____

Treasurer's Signature _____ **Date** ____/____/____

STATEMENT OF INTENT AND APPOINTMENT OF CAMPAIGN TREASURER INSTRUCTIONS

FILING OFFICER INSTRUCTIONS – Date/stamp the form (KREF 001) in the space provided in the top center. If a date/stamp device is not used, complete the information requested by hand in the spaces provided. State the name of the office where form was filed, including the name of the county and the date on which the filing was accepted. Retain the original form with the Candidate's filing papers, 2) send a copy to the Registry immediately, and 3) provide the Candidate with a copy for the campaign's records.

REGISTRY USE ONLY BOX – Please leave this space blank, as it is reserved for use by the Registry.

CANDIDATE INSTRUCTIONS - Type or print all entries **legibly** and provide all information requested. This form is to be filed initially with the Secretary of State or the County Clerk during the ballot access filing period. The filing officer will forward the appropriate copy to the Registry. **Keep a copy for your campaign records.** This form does not register a campaign committee. To register a campaign committee, see KREF 010.

SECTION 1. CANDIDATE INFORMATION - This section of the form contains information relating to the candidate. An entry in each field is required. Complete all fields, leaving no field blank. Enter the name of the candidate as it will appear on the ballot.

SECTION 2. ELECTION INFORMATION - This section contains information relating to the election. An entry in each field is required. Complete all fields, leaving no field blank. Enter the date of the election for which the candidate is filing this form. NOTE: For campaign finance purposes, the primary and the general election are both elections.

SECTION 3. TREASURER AND DEPOSITORY INFORMATION - This section of the form contains information relating to the campaign treasurer and campaign depository bank account. Complete all fields, leaving no field blank. An entry in each field is required. NOTE: The treasurer's signature is required due to statutory obligations created by virtue of the designation. A candidate is required to notify the Registry of the death, resignation, or removal of a treasurer and may appoint a successor by using this form. Otherwise, the candidate is accountable as his or her own treasurer. If candidate is serving as his or her own treasurer, "Self" may be entered in this field.

SECTION 4. STATEMENT OF SPENDING INTENT AND REQUEST FOR EXEMPTION - This section of the form contains options for spending intent and requesting a reporting exemption based on the amount of money the candidate plans to raise and/or spend in each election.

NO EXEMPTION - A candidate who intends to raise or spend **over \$3,000 (including the candidate's own money)** is required to file all reports for the primary election, for the general election, or for both elections, or for a special or unexpired term election. If a candidate chooses this option, **all reports are required**, even if no campaign activity has taken place during the reporting period. Whether a candidate is opposed or unopposed in an election does not affect the reporting requirements.

EXEMPTION OPTION - A candidate who intends to raise or spend **\$3,000 or less (including the candidate's own money)** during each election may request an exemption from reporting. When choosing this option, indicate whether the exemption is being requested for the primary election, general election, or both, or for a special or unexpired term election. If a candidate chooses this option, **no reports are required. However, in the event that the candidate exceeds \$3,000 in campaign funds raised or spent, the candidate must begin filing all applicable financial reports due, and may be subject to civil penalties for late reporting or failure to timely revoke.**

AMENDMENT - This section of the form is used to indicate that information as previously filed on KREF 001 has changed. If using this form to amend candidate, election, treasurer, or depository information, complete a new KREF 001 in its entirety. In Section 4, check the appropriate box to indicate that the form contains information which is different from that which was originally filed. Briefly describe the reason for the amendment. NOTE: Any amended KREF 001, filed after the ballot access filing period, must be filed directly with the Registry, not the filing officer.

SECTION 5. VERIFICATION - Registering as a candidate for public office and/or serving as a campaign treasurer creates certain duties under the campaign finance law. **By signing the verification statement, the candidate and the treasurer acknowledge the potential for penalties under campaign finance law in the event that false, erroneous or incomplete information is submitted to the Registry.**

QUESTIONS? Consult the Registry's website, <https://kref.ky.gov>
or call (502) 573-2226 for staff assistance.