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MAR 24 2026

Amge Darnell

REGULATIONS COMPILER

1 OFFICE OF ATTORNEY GENERAL

2 Kentucky Office of Regulatory Relief

3 (Amendment)

4 40 KAR 12:300. [~~Issuance of permit to use a~~Automated calling equipment operators.

5 RELATES TO: KRS 367.461, 367.463, 367.465, 367.467, 367.469

6 STATUTORY AUTHORITY: KRS 15.180, 367.150(4), 367.469

7 CERTIFICATION STATEMENT: This is to certify that this administrative regulation  
8 amendment complies with the requirements of 2025 RS HB 6, Section 8.

9 NECESSITY, FUNCTION, AND CONFORMITY: KRS 15.180 authorizes the Attorney General  
10 to promulgate administrative regulations that will facilitate performing the duties and exercising  
11 the authority vested in the Attorney General and the Department of Law. KRS 367.150(4) requires  
12 the Department of Law to recommend administrative regulations in the consumers' interest. KRS  
13 367.469 requires the Attorney General to promulgate an administrative regulation to establish an  
14 automated calling equipment use permit application. KRS 367.469(1) requires an automated  
15 calling equipment operator to file a surety bond. KRS 367.469(2) authorizes the Attorney General  
16 to promulgate administrative regulations necessary for the proper administration and enforcement  
17 of KRS 367.461 to 367.469. This administrative regulation establishes an online permit  
18 application, an online permit renewal application and a surety bond form to be used by automated  
19 calling equipment operators[~~Sets forth the basis for issuance of permit to use automated calling~~  
20 ~~equipment in the Commonwealth of Kentucky~~].

21 Section 1. Automated Calling Equipment Operator Permit Application. (1) An operator shall not  
22 use automated calling equipment to call Commonwealth of Kentucky resident telephone numbers  
23 unless the Attorney General approves the operator's permit application in accordance with this  
24 administrative regulation. An applicant shall submit an online permit application using the  
25 "Automated Calling Equipment Operator Permit application portal" available at  
26 <https://www.ag.ky.gov/Resources/Pages/Office-of-Regulatory-Relief.aspx>.

27 (2) To complete an online application, an applicant shall submit:

28 (a) The applicant's certificate of existence, authorization certificate from the Kentucky  
29 Secretary of State's office, or other evidence of the applicant's authority to transact business in  
30 Kentucky; and

31 (b) A completed Automated Calling Equipment Operator Surety Bond, form T-4, a  
32 completed surety bond complying with KRS 367.469; or alternate form of surety acceptable to the  
33 Attorney General.

34 (3)(a) An applicant shall complete its application by submitting additional information or  
35 documents within thirty (30) days of a request by the Attorney General.

36 (b) The Attorney General may deny an application if the applicant fails to timely complete  
37 the application by not paying the application fee or not providing requested information or required  
38 documents.~~[An "Application Form for Permit to Use Automated Dialing Equipment" shall be filed~~  
39 ~~with the Office of the Attorney General.~~

40 ~~(2) The Office of the Attorney General may verify the information contained in an application.~~

41 ~~(3) An application that contains false or incomplete statements shall be denied].~~

42 Section 2. Automated Calling Equipment Operator Permit Renewal Application. (1) An approved  
43 automated calling equipment operator permit or renewal shall be valid for one (1) year from the  
44 written confirmation date of registration or renewal approval provided by the Attorney General..

45 (2) Thirty (30) days prior to the expiration of a current permit, an automated calling equipment  
46 operator may renew its permit. Permit renewal shall be accomplished by submitting an online  
47 renewal application using the “Automated Calling Equipment Operator Permit Renewal  
48 application portal” available at [https://www.ag.ky.gov/Resources/Pages/Office-of-Regulatory-](https://www.ag.ky.gov/Resources/Pages/Office-of-Regulatory-Relief.aspx)  
49 Relief.aspx.

50 (3) To complete the online renewal application portal, a permit holder shall submit a completed  
51 Automated Calling Equipment Operator Surety Bond, form T-4, a completed surety bond  
52 complying with KRS 367.469, or an alternate form of surety acceptable to the Attorney General,  
53 if a prior submitted surety bond or surety is no longer current.

54 (4)(a) A permit holder shall complete its renewal application by submitting additional  
55 information or documents for its application within thirty (30) days of a request by the Attorney  
56 General.

57 (b) The Attorney General may deny any renewal application if a permit holder fails to timely  
58 complete the application by not submitting requested information or documents.

59 (5) Any permit renewal by the Attorney General shall not be construed to waive or condone any  
60 violation of law that occurred prior to any permit renewal and shall not prevent subsequent  
61 proceedings against a permit holder.~~[(1) The surety bond required by KRS 367.469 shall be in~~  
62 ~~favor of the Attorney General's Division of Consumer Protection.~~

63 ~~(2) It shall be held for compensation to consumers if an applicant or permittee:~~

64 ~~(a) Becomes insolvent or bankrupt; or~~

65 ~~(b) Is unavailable, unable, or unwilling to:~~

66 ~~1. Address consumer complaints; or~~

67 ~~2. Satisfy claims for restitution or civil penalties under the provisions of KRS Chapter~~  
68 ~~367.]~~

69 Section 3. Written Notification of Material Changes. A permit holder shall notify the Attorney  
70 General, in writing, within fourteen (14) days of any material change to information provided in  
71 the original application, any renewal application, application attachments, or prior written  
72 notices.~~[Issuance, Renewal of Permit. (1) A permit shall be issued if:~~

73 ~~(a) An applicant has filed an "Application Form for Permit to Use Automated Dialing~~  
74 ~~Equipment" with the Office of the Attorney General;~~

75 ~~(b) The application form contains the required information; and~~

76 ~~(c) The Office of the Attorney General has verified that the requirements of KRS Chapter~~  
77 ~~367 have been met.~~

78 ~~(2) A permit shall be valid for one (1) year.~~

79 ~~(3) A request for renewal of a permit shall be:~~

80 ~~(a) Made on the "Application Form for Permit to Use Automated Dialing Equipment"; and~~

81 ~~(b) Subject to the same conditions as an initial application for a permit.]~~

82 Section 4. Record Requests. An automated calling equipment operator shall make requested  
83 business records, documents and information related to an investigation or inquiry readily  
84 available to the Attorney General for inspection and copying upon request.~~[Revocation of Permit.~~

85 ~~The Attorney General may revoke a permit if he determines that the permittee has violated KRS~~  
86 ~~Chapter 367.]~~

87 Section 5. Incorporation by Reference. (1) The following material is incorporated by reference:

88 (a) ~~“[Application Form for Permit to Use]~~Automated Calling~~[Dialing]~~ Equipment  
89 Operator Permit application portal”, March 2026;~~[(1992)“ is incorporated by reference.]~~

90 (b) “Automated Calling Equipment Operator Permit Renewal application portal”,  
91 March 2026; and

92 (c) Automated Calling Equipment Operator Surety Bond”, Form T-4, March 2026;

93 (2) This ~~material[form]~~ may be inspected, copied or obtained, subject to applicable copyright law,  
94 at the Office of the Attorney General, Capital Complex East~~[Consumer Protection Division]~~, 1024  
95 Capital Center Drive, Suite 200~~[209 St. Clair Street]~~, Frankfort, Kentucky 40601~~[-1875]~~, Monday  
96 through Friday, 8:00 a.m. to 4:30 p.m.~~[, Monday through Friday,]~~ This material is also available  
97 on the Attorney General’s website, <https://ag.ky.gov/Pages/default.aspx>.

READ AND APPROVED



\_\_\_\_\_  
Stephen B. Humphress, Executive Director  
Kentucky Office of Regulatory Relief  
Office of Attorney General

March 24, 2026

\_\_\_\_\_  
Date



\_\_\_\_\_  
Russell Coleman, Attorney General  
Department of Law

March 24, 2026

\_\_\_\_\_  
Date

**PUBLIC HEARING AND PUBLIC COMMENT PERIOD:** A public hearing on this proposed administrative regulation shall be held on June 23, 2026, at 10:00 a.m. Eastern Time at the Office of Administrative Hearings, Conference Room B, 105 Sea Hero Road, Suite 2, Frankfort, KY 40601. Individuals interested in being heard at this hearing shall notify this Office in writing at least five (5) working days prior to the hearing of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through 11:59 p.m. on June 30, 2026. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

**CONTACT PERSON:** Stephen B. Humphress, Executive Director, Kentucky Office of Regulatory Relief, Kentucky Office of Attorney General, 1024 Capital Center Drive, Suite 200, Frankfort, Kentucky 40601, phone: 502-696-5408, fax: (502) 573-8317, email: [steve.humphress@ky.gov](mailto:steve.humphress@ky.gov).

## REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Administrative Regulation #: 40 KAR 12:300

Contact Person: Stephen B. Humphress

Phone Number: 502-696-5481

Email: [steve.humphress@ky.gov](mailto:steve.humphress@ky.gov)

Subject Headings: Attorney General; Occupations and Professions; Telecommunications, and Bonds

(1) Provide a brief summary of:

(a) What this administrative regulation does: This administrative regulation establishes the online permit application, online permit renewal application, and surety bond form to be used by automated calling equipment operators.

(b) The necessity of this administrative regulation: This regulation is necessary since it allows the Office of Attorney General (“Attorney General”), to perform its statutory mandates.

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 15.180 directs the Attorney General to promulgate administrative regulations that will facilitate the performance of duties vested in the Attorney General and the Department of Law. KRS 367.150(4) requires the Department of Law to recommend administrative regulations in the consumers' interest. KRS 367.469 requires the Attorney General to promulgate an administrative regulation to establish an automated calling equipment use permit application. KRS 367.469(1) requires an automated calling equipment operator to file a surety bond. KRS 367.469(2) authorizes the Attorney General to promulgate administrative regulations necessary for the proper administration and enforcement of KRS 367.461 to 367.469.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation establishes the online permit application, permit renewal application, and surety bond form to be used by automated calling equipment operators.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation: This amendment makes changes to comply with KRS Chapter 13A drafting requirements. The amendment establishes an online permit application, an online permit renewal application, and updates the surety bond form. The amendment removes unnecessary language from the regulation as duplicative and already incorporated by reference in incorporated online application processes.

(b) The necessity of the amendment to this administrative regulation: The Attorney General needs to update regulations to conform to KRS Chapter 13A drafting requirements. The

Attorney General also needs to update its regulation and forms to make both easier to be understood by regulated entities and consumers. The Attorney General also needs to modernize application and documentation processes by providing online processes.

(c) How the amendment conforms to the content of the authorizing statutes: As previously explained, KRS 15.180, 367.150(4), and 367.469 authorize the administrative regulation amendments.

(d) How the amendment will assist in the effective administration of the statutes: The regulation amendments will cause the regulation to be more easily understood by regulated entities and consumers. The regulation amendments will provide online application and renewal processes for regulated entities and cause the form used by regulated entities to be more easily understood and completed. Regulation amendments will result in saved administrative resources and time and produce quicker processing time by Attorney General staff.

(3) Does this administrative regulation or amendment implement legislation from the previous five years? No

(4) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: This regulation amendment affects approximately thirteen (13) automated calling equipment operators holding permits, the Attorney General, and unknown future applicants.

(5) Provide an analysis of how the entities identified in question (4) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (4) will have to take to comply with this administrative regulation or amendment: Automated calling equipment permit holders will be required to use the online renewal application incorporated into this regulation. Future applicants will be required to use the online permit application incorporated into this regulation. Attorney General staff will review and process the new online submissions and new bond forms for compliance with law.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (4): Applicants and registered telemarketing companies will bear no additional costs as they will be able to use the online application processes and download the updated form at no cost from the Attorney General's website. The Attorney General will have no additional costs to review the new online submissions or new forms.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (4): The regulation amendments are intended to make the regulation and forms more easily understood and completed. The online application and renewal processes will make it easier

for applicants and regulated entities to apply, renew and provide required documentation. In addition, it is intended that the regulation amendments will result in saved administrative resources and time and provide quicker processing time by Attorney General staff.

(6) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially: There are no costs to implement this administrative regulation amendment.

(b) On a continuing basis: There are no continuing costs to implement this administrative regulation amendment.

(7) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: There are no additional costs associated with implementing this administrative regulation amendment, so no funding is needed.

(8) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change, if it is an amendment: There is no anticipated increase in fees or funding necessary to amend this administrative regulation.

(9) State whether or not this administrative regulation establishes any fees or directly or indirectly increased any fees: This administrative regulation amendment does not directly or indirectly increase any fees.

(10) TIERING: Is tiering applied? [Explain why or why not] No. This administrative regulation applies equally to all automated calling equipment operators.

## FISCAL IMPACT STATEMENT

Administrative Regulation #: 40 KAR 12:300

Contact Person: Stephen B. Humphress

Phone Number: 502-696-5481

Email: steve.humphress@ky.gov

(1) Identify each state statute, federal statute, or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 15.180, 367.150(4), and 367.469.

(2) State whether this administrative regulation is expressly authorized by an act of the General Assembly, and if so, identify the act: 1960 Ky. Acts Ch. 68, Art. II, sec. 1; 1972 Ky. Acts Ch. 4, sec. 4; and 1992 Ky. Acts Ch. 32, sec. 5.

(3)(a) Identify the promulgating agency and any other affected state units, parts, or divisions: The Office of Attorney General, Kentucky Office of Regulatory Relief (“Attorney General”) is the promulgating agency. The regulation does not affect any other state agencies.

(b) Estimate the following for each affected state unit, part, or division in (3)(a):

1. Expenditures:

For the first year: There are no expenditures to administer this administrative regulation for the first year.

For subsequent years: There will be no expenditures to administer the administrative regulation in subsequent years.

2. Revenues:

For the first year: The administrative regulation will generate no revenues to the Attorney General in the first year.

For subsequent years: The administrative regulation will generate no revenues to the Attorney General in subsequent years.

3. Cost Savings:

For the first year: In the first year, the Attorney General will have cost savings from efficient and quicker processing of applications which are difficult to estimate at this time but estimated to be de minimis.

For subsequent years: In subsequent years, the Attorney General will have cost savings from efficient and quicker processing of applications which are difficult to estimate at this time but estimated to be de minimis.

(4)(a) Identify affected local entities (for example: cities, counties, fire departments, school districts): The administrative regulation will not affect any local entities.

(b) Estimate the following for each affected local entity identified in (4)(a):

1. Expenditures:

For the first year: This administrative regulation will not cause expenditures by local entities for the first year.

For subsequent years: This administrative regulation will not cause expenditures by local entities in subsequent years.

2. Revenues:

For the first year: Local entities will receive no revenues from this administrative regulation for the first year.

For subsequent years: Local entities will receive no revenues from this administrative regulation in subsequent years.

3. Cost Savings:

For the first year: Local entities will receive no cost savings from this administrative regulation for the first year.

For subsequent years: Local entities will receive no cost savings from this administrative regulation for subsequent years.

(5)(a) Identify any affected regulated entities not listed in (3)(a) or (4)(a): Automated calling equipment operators will be affected by this administrative regulation.

(b) Estimate the following for each regulated entity identified in (5)(a):

1. Expenditures:

For the first year: This administrative regulation will not cause automated calling equipment operators to have additional expenditures for the first year.

For subsequent years: This administrative regulation will not cause automated calling equipment operators to have additional expenditures for subsequent years.

2. Revenues:

For the first year: Automated calling equipment operators will not receive any revenues directly from this administrative regulation for the first year.

For subsequent years: Automated calling equipment operators will not receive any revenues directly from this administrative regulation for subsequent years.

3. Cost Savings:

For the first year: For the first year, automated calling equipment operators will receive cost savings from quicker processing of applications. These cost savings are difficult to estimate currently but are estimated to be *de minimis*.

For subsequent years: For subsequent years, Automated calling equipment operators will receive cost savings from quicker processing of applications. These cost savings are difficult to estimate currently but are estimated to be *de minimis*.

(6) Provide a narrative to explain the following for each entity identified in (3)(a), (4)(a), and (5)(a):

(a) Fiscal impact of this administrative regulation: This administrative regulation will have no fiscal impact. The new regulation merely creates an online permit application, an online renewal application, and updates a surety bond form for use by automated calling equipment operators. The regulation does not affect any other governmental agencies or local governments. The regulation does not establish any fees. For these reasons, the regulation is not expected to have any significant fiscal impact.

(b) Methodology and resources used to reach this conclusion: The Attorney General used a quantitative methodology analysis based on history of administrative agencies which license or register businesses in a specific subject area and the resulting facts from this regulation. The Attorney General used staff resources to determine the fiscal impact.

(7) Explain, as it relates to the entities identified in (3)(a), (4)(a), and (5)(a):

(a) Whether this administrative regulation will have a “major economic impact”, as defined by KRS 13A.010(13): There is not an expected “major economic impact” from this regulation for the Attorney General, any local entities, or affected regulated entities.

(b) The methodology and resources used to reach this conclusion: The Attorney General used a quantitative methodology analysis based on history of administrative agencies which license or register businesses in a specific subject area and resulting facts from this regulation. The Attorney General used staff resources to reach the conclusion that no overall negative or adverse major economic impact results from this administrative regulation.

## SUMMARY OF MATERIAL INCORPORATED BY REFERENCE

Administrative Regulation #: 40 KAR 12:300

Contact Person: Stephen B. Humphress

Phone Number: 502-696-5481

Email: [steve.humphress@ky.gov](mailto:steve.humphress@ky.gov)

- I. “Automated Calling Equipment Operator Permit application portal”, March 2026. This online application portal is used by automated calling equipment operators to obtain permits from the Attorney General as required by KRS 367.905. The form consists of three (3) online pages. Attached as Appendix I.
- II. “Automated Calling Equipment Operator Permit Renewal application portal”, March 2026. The form is used by automated calling equipment operators to renew permits with the Attorney General as required by KRS 367.905. The form consists of four (4) online pages.
- III. “Automated Calling Equipment Operator Surety Bond”, Form T-4, March 2026. The form may be used by automated calling equipment operators when filing the surety bond required by KRS 367.906. The form consists of two (2) pages.

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**LEGISLATIVE RESEARCH COMMISSION**

State Capitol 700 Capital Avenue Frankfort KY 40601

**502-564-8100**

Capitol Fax 502-564-2922

Annex Fax 502-564-6543

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Director

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**MEMORANDUM**

TO: Stephen Humphress, Executive Director, Office of Regulatory Relief, Office of Attorney General

FROM: Ange Darnell, Regulations Compiler

RE: Proposed Amendments to Regulations – 040 KAR 012:300, 040 KAR 012:310 and 040 KAR 012:420.

DATE: March 24, 2026

A copy of each administrative regulation listed above is enclosed for your files. These regulations are tentatively scheduled for review by the Administrative Regulation Review Subcommittee at its **JULY 2026** meeting. We will notify you of the date and time of this meeting once it has been scheduled.

Pursuant to KRS 13A.280, *if* comments are received during the public comment period, a Statement of Consideration or a one-month extension request for these regulations is due **by noon on July 15, 2026**. Please reference KRS 13A.270 and 13A.280 for other requirements relating to the public hearing and public comment period and Statements of Consideration.

If you have questions, please contact us at RegsCompiler@LRC.ky.gov or (502) 564-8100.

Enclosures



## Appendix I

### Automated Calling Equipment Registration application portal pages

#### Kentucky Office of Regulatory Relief ("KORR")

#### Compliance and Registration Branch Portal

Please complete all the information below for all pages and upload any requested documents to submit an online application.

KORR recommends turning on the autofill feature in your web browser to make filling out online forms easier.

Please omit commas in all number fields.

#### Application Information ▾

Registration Type (required)

- Auto Dialer
- Telemarketer
- Debt Adjuster
- Business Opportunity (if you are claiming an exemption to the Business Opportunity Statutes, select Franchise Exemption below)
- Franchise Exemption
- Recreation & Retirement Use Land Seller
- Buying & Vacation Club Seller
- Health Spa
- Prior Approval to obtain Excess Going Out of Business Sale License
- Transient Merchant
- Contact Lenses
- Visual Aid Glasses

Legal Name of Applicant Equipment Owner/Operator (required)

Doing Business as (DBA) name(s)

If multiple DBAs, please list all with semi-colons between each DBA.

Formerly Known as (FKA) name(s)

Business Mailing Street Address (required)

Business City (required)

Business State (required)

Business Zip Code (required)

Contact Person Name (required)

Contact Person Phone (required)

Contact Person Email (required)

Please provide the following information regarding the Applicant (required)

State of incorporation, organization, formation, or existence (required)

Please attach a copy of the Applicant's certificate of existence, or authorization certificate, from the Kentucky Secretary of State's Office, or provide other evidence of the Applicant's authority to transact business in the Kentucky. (required)

Instructions for obtaining certificates can be found at: <https://www.sos.ky.gov/bus/businessrecords/Pages/default.aspx>

or drag file(s) here

Name of Process Agent (required)

Process Agent Street Address (required)

Process Agent City (required)

Process Agent State (required)

Process Agent Zip Code (required)

Please upload your Automated Calling Equipment Surety Bond, Form T-6 (required)

[Browse](#) or drag file(s) here

Page 1 of 3

[Next](#)

**Other Persons/Companies Using Automated Calling Equipment** ▾

Number of person(s) or company(ies) who will use the permitted automated calling equipment in addition to the applicant (required)

Name of First Person/Company (required)

First Person/Company telephone number (required)

First Person/Company street address (required)

First Person/Company city (required)

First Person/Company state (required)

Select from below ▾

First Person/Company zip code (required)

Name of Second Person/Company (required)

Second Person/Company telephone number (required)

Second Person/Company street address (required)

Second Person/Company city (required)

Second Person/Company state (required)

Select from below ▾

Second Person/Company zip code (required)

Page 2 of 3

[Previous](#)

[Next](#)

**Signature of Seller/Applicant** ▾

Typed Name as Electronic Signature - Auto Dialer (required)

By submitting this application, I hereby swear or affirm, under penalty of perjury the following:

- (1) I am authorized to complete this form on behalf of the registrant.
- (2) The information, and any attachments, provided in and with this application are true and correct.
- (4) The applicant agrees to notify the Attorney General immediately of any material change in the information provided in and with this application.
- (5) The applicant agrees to provide requested records, documents and information to the Attorney General within a reasonable time after requested.
- (6) The applicant understands that its application may be denied and a registration suspended or revoked by the Attorney General.

I understand and agree that my typed name below has the same legal force and effect as my original manual signature and I have typed my name below with intent to sign this report by electronic means in compliance with the Kentucky Uniform Electronic Transaction Act codified at KRS 369.101 to 369.120.

**Typed Name as Electronic Signature**

Title of Seller/Applicant Representative (required)

Signature Date (required)

03/19/2026	
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**Appendix II**  
**Automated Calling Equipment Renewal application portal pages**  
**Kentucky Office of Regulatory Relief ("KORR")**  
**Renewal Portal**

Please complete all the information below for all pages and upload any requested documents to submit an online application.

KORR recommends turning on the autofill feature in your web browser to make filling out online forms easier.

Please omit commas in all number fields.

**Registrant Information** ▾

Registration Type (required)

- Auto Dialer
- Telemarketer
- Debt Adjuster
- Business Opportunity
- Health Spa
- Contact Lenses
- Visual Aid Glasses

Legal name of registrant equipment owner operator (required)

Doing Business as (DBA) name (if any) (required)

KY Registration Number (required)

Registrant's Business Street Address (required)

Registrant's Business City (required)

Registrant's Business State (required)

Registrant's Business Zip Code (required)

Contact Person Name (required)

Contact Person Email (required)

Contact Person Phone (required)

Page 1 of 4

[Next](#)

### Material Changes in Application(s) ▾

Have there been any changes since last application as to the names, addresses, and telephone numbers of all other persons or companies who will use the permitted automated calling equipment in addition to the operator? (required)

- Yes  
 No

Page 2 of 4

[Previous](#)

[Next](#)

### Insurance and Bond Information ▾

Automated Calling Equipment Use Surety Bond, Form T-7

*A completed Automated Calling Equipment Use Surety Bond, Form T-7, must also be submitted if a prior submitted surety bond is no longer current. Attach here if applicable.*

[Browse](#) or drag file(s) here

Page 3 of 4

[Previous](#)

[Next](#)

Signature of Registrant ▾

Typed Name as Electronic Signature - Auto Dialer Application (required)

By submitting this application, the undersigned representative for the equipment operator, does hereby swear or affirm, under penalty of perjury, the following:

1. I am authorized to complete this form on behalf of the registrant.
2. The information and any attachments provided in and with this application are true and correct.
3. The equipment operator agrees to immediately notify the Attorney General about any material change in any information, or attachments, provided in or with this application.
4. The equipment operator agrees to provide records and documents to the Attorney General within a reasonable time after requested.
5. The equipment operator understands that its registration application may be denied, and a registration suspended or revoked by the Attorney General.

I understand and agree that my typed name below has the same legal force and effect as my original manual signature and I have typed my name below with intent to sign this report by electronic means in compliance with the Kentucky Uniform Electronic Transaction Act codified at KRS 369.101 to 369.120.

**Typed Signature**

Title of Registrant Representative (required)

Signature Date (required)

03/19/2026	
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T-4  
(March 2026)



Bond Number: \_\_\_\_\_

**COMMONWEALTH OF KENTUCKY**  
**OFFICE OF ATTORNEY GENERAL**  
Kentucky Office of Regulatory Relief  
1024 Capital Center Drive, Suite 200  
Frankfort, KY 40601  
<https://ag.kv.gov>

**AUTOMATED CALLING EQUIPMENT OPERATOR  
SURETY BOND (T-4)**

**SECTION A – TELEMARKETING COMPANY (PRINCIPAL) INFORMATION**

Legal Name of Automated Calling Equipment Operator (Principal): \_\_\_\_\_  
DBA (Doing Business As): \_\_\_\_\_  
Business Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Name of Contact Person: \_\_\_\_\_  
Contact Person Phone: \_\_\_\_\_ Contact Person Email: \_\_\_\_\_

**SECTION B – SURETY BOND**

KNOW ALL PERSONS BY THIS DOCUMENT, that the above-identified telemarketing company, \_\_\_\_\_, AS PRINCIPAL, and \_\_\_\_\_, a legal entity organized and existing under the laws of the State of \_\_\_\_\_, and authorized to transact surety insurance business in the Commonwealth of Kentucky under KRS Chapter 304, AS SURETY, are held and firmly bound unto the Commonwealth of Kentucky Attorney General for the benefit of any person(s) suffering injury and loss by reason of any violation of KRS 367.461, 367.463, 367.465, 367.469 or related administrative regulation, AS OBLIGEE, in the full penal sum of Ten Thousand Dollars (\$10,000.00), lawful money of the United States of America, for the payment of which we hereby bind ourselves, jointly and severally, our heirs, executors, administrators, successors, and assigns firmly by these presents.

WHEREAS, the above named Principal has applied for an automated calling equipment permit from the Attorney General, in accordance with the provisions of KRS 367.469 and is required to furnish a surety bond with such registration.

NOW, THEREFORE, the condition of this obligation is such, that if the Principal shall faithfully and honestly fulfill all its obligations to the Obligee in accordance with the provisions of KRS 367.461, 367.463, 367.465, and 367.469, and no person(s) suffer loss from the Principal’s telemarketing activities by virtue of such registration, then this obligation shall be released; otherwise, to remain in full force and effect.

This Bond becomes EFFECTIVE this the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

The Surety may cancel this bond at any time by filing thirty (30) days’ written notice of its intent to cancel or terminate this bond with the Attorney General. The Surety shall not be discharged from any liability already accrued under this bond, or which shall accrue before expiration of the thirty (30) day period.

This BOND shall not become void upon the first recovery thereon but may be sued upon from time to time until the full amount thereof shall have been exhausted.

*(Continued on next page)*

**SECTION B – SURETY BOND** *(continued)*

By submitting this completed Surety Bond form, the undersigned hereby swears and affirms, under penalty of perjury, that the Principal agrees to be bound by the terms of this Bond; and, that the undersigned has authority to execute and sign this Surety Bond on behalf of the Principal Telemarketing Company.

\_\_\_\_\_  
*Signature of Principal Telemarketing Company Representative*

\_\_\_\_\_  
*Date Signed*

\_\_\_\_\_  
*Printed Name*

\_\_\_\_\_  
*Title*

The undersigned hereby swears and affirms, under penalty of perjury, that the undersigned has authority to execute and sign this Surety Bond on behalf of the Surety, and that the Surety will be bound by the terms of this Bond.

\_\_\_\_\_  
*Signature of Surety Representative*

\_\_\_\_\_  
*Date Signed*

\_\_\_\_\_  
*Printed Name*

\_\_\_\_\_  
*Title*

**INSTRUCTIONS**

*A copy of this completed surety bond form, or other surety bond complying with KRS 367.46981, MUST be submitted to the Attorney General with an online telemarketing company registration application available at: <https://www.ag.ky.gov/Resources/Pages/Office-of-Regulatory-Relief.aspx>*